PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	Docket No: Q64347
Kenichi KIKUCHI	Allowed: February 25, 2005
Appln. No.: 09/849,983	Group Art Unit: 2152
Confirmation No.: 1354	Examiner: Unknown

Filed: May 08, 2001

For: MULTI-PROGRAM PROCESSING SYSTEM AND ROLLBACK METHOD FOR FILE USED THEREFOR

INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §§ 1.97 and 1.98

MAIL STOP ISSUE FEE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby

notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached

PTO/SB/08 A & B (modified) form and listed herein and which the Examiner may deem

material to patentability of the claims of the above-identified application.

1. Japanese Unexamined Patent Application Publication No. H10-293712, published

    November 4, 1998.

2. U.S. Patent Application Publication No. 2001-0049714, published December 6, 2001.

One copy of each of the listed documents is submitted herewith.

The present Information Disclosure Statement is being filed after either a Final Office

Action, Notice of Allowance, or an action that otherwise closes prosecution in the application

INFORMATION DISCLOSURE STATEMENT
U.S. Appln. No.: 09/849,983

(whichever is earlier), but before payment of the Issue Fee, and therefore Applicant is submitting

herewith a check for the fee of $180.00 under 37 C.F.R. § 1.17(p), and a Statement Under

37 C.F.R. § 1.97(e).

In compliance with the concise explanation requirement under 37 C.F.R. § 1.98(a)(3) for

foreign language documents, Applicant encloses herewith a copy of a corresponding Japanese

Office Action dated January 25, 2005 and an English translation of the pertinent portions thereof

which cites such documents and indicating the degree of relevance found by the foreign patent

office.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicant does not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

The USPTO is directed and authorized to charge all required fees, except for the Issue

Fee and the Publication Fee, to Deposit Account No. 19-4880. Please also credit any

overpayments to said Deposit Account. A duplicate copy of this paper is attached.

Respectfully submitted,

Howard L. Bernstein
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WASHINGTON OFFICE
23373
CUSTOMER NUMBER

Date: March 22, 2005

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STATEMENT UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed

concurrently herewith was first cited in any communication from a foreign patent office in a

counterpart foreign application not more than three months prior to the filing of said Information

Disclosure Statement.

Respectfully submitted,

Howard L. Bernstein
Registration No. 25,665

SUGHRUE MION, PLLC
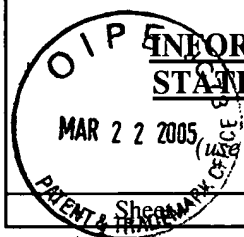Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE
23373
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Substitute for Form 1449 A & B/PTO				*Complete if Known*	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT *(use as many sheets as necessary)*				Application Number	09/849,983
				Confirmation Number	1354
				Filing Date	May 08, 2001
				First Named Inventor	Kenichi KIKUCHI
				Art Unit	2152
				Examiner Name	Unknown
Sheet	1	of	1	Attorney Docket Number	Q64347

(stamp: O I P E... MAR 2 2 2005 PATENT & ...)

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code[2] *(if known)*		
		US 2001/0049714	A1	12-06-2001	Kikuchi
		US			
		US			
		US			
		US			
		US			
		US			
		US			
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation[6]
		Country Code[3]	Number[4]	Kind Code[5] *(if known)*			
		JP	H10-293712	A	11-04-1998		No

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation[6]

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[1]Applicant's unique citation designation number (optional). [2]See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. [3] Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). [4]For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. [5]Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. [6] Applicant is to indicate here if English language Translation is attached.